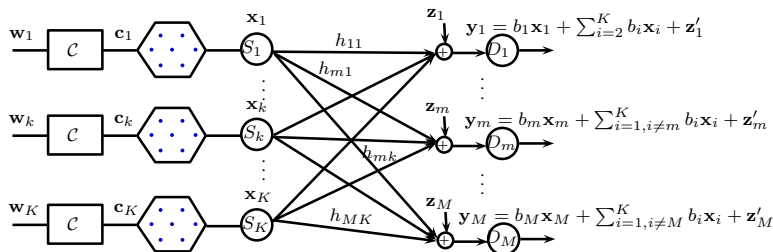


# Multilevel Coding and Modulation for Interference Channels, Integer-Forcing, Compute-and-Forward, Physical Layer Network Coding

**Yu-Chih Huang, Avinash Vem & Krishna R. Narayanan**  
*Texas A&M University*

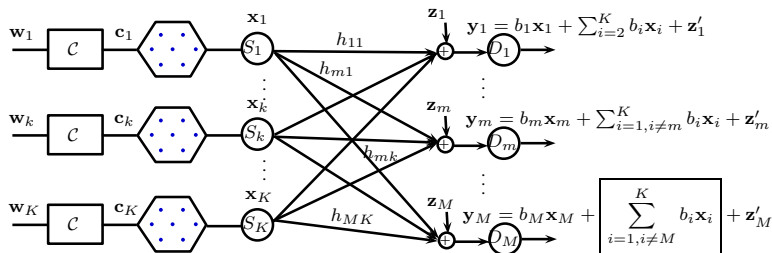
# Lattice codes and a modern view of interference

- A new perspective for dealing with interference is emerging
- Integer combinations of interfering codewords are directly decoded
- Lattice codes are at the heart of these coding schemes

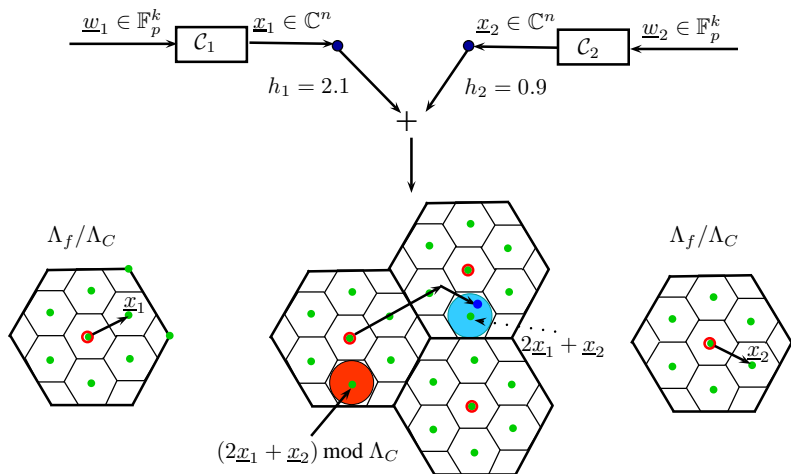


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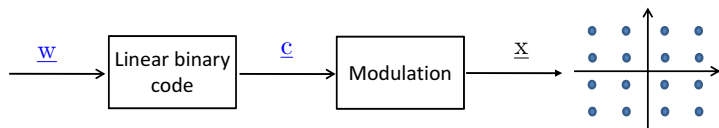
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# Lattices, Lattice Codes and Integer Combinations

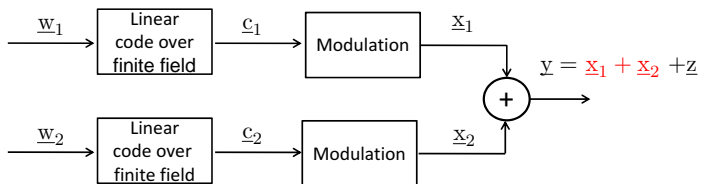


# Coding and Modulation for Single User Channels

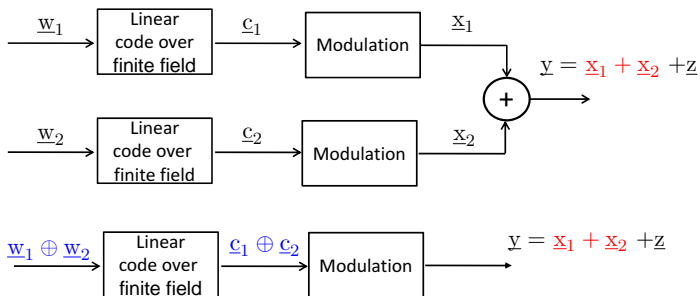


- We mostly understand how to design codes - Turbo, LDPC etc
- Codes are designed over the finite field - e.g. binary codes
- We also understand the interface between coding and modulation
- Bit interleaved coded modulation, multilevel coded modulation etc

# Coding and Modulation for Decoding Linear Combinations

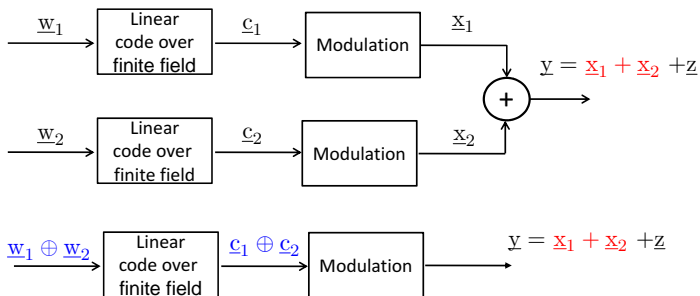


# Coding and Modulation for Decoding Linear Combinations



- When is it efficient to decode  $\underline{c}_1 \oplus \underline{c}_2$  from  $y$  ?
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# Coding and Modulation for Decoding Linear Combinations



- When is it efficient to decode  $\underline{c}_1 \oplus \underline{c}_2$  from  $\underline{y}$  ?
- When are linear comb. over the **finite field** related to those over **real field**?
- Binary codes + QAM does not work



# Algebra - Rings

$\{\mathcal{R}, \oplus, \odot\}$  is a commutative ring

- $\mathcal{R}$  is closed under  $\oplus$ , has an identity and has an inverse
- $\mathcal{R}$  is closed under  $\odot$ , may not have identity and inverse

Examples

- Ring of integers -  $\mathbb{Z}$  - set of integers with ordinary  $+$ ,  $\times$
- Ring of integers mod  $p$  -  $\mathbb{Z}_p \triangleq \{0, 1, 2, \dots, p-1\}$ ,  $+$  mod  $p$ ,  $\times$  mod  $p$

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- $\mathbb{Z}_6 \triangleq \{0, 1, 2, 3, 4, 5\}$ ,  $+$  mod 6,  $\times$  mod 6
  - 2 does not have an inverse

# Algebra - Fields

$\{\mathbb{F}, \oplus, \odot\}$  is a field

- $\mathbb{F}$  is closed under  $\oplus$ , has an identity 0, and an inverse
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EX:  $\mathbb{Z}_p \triangleq \{0, 1, \dots, p-1\}$ ,  $+$  mod  $p$ ,  $\times$  mod  $p$  is a field if  $p$  is prime

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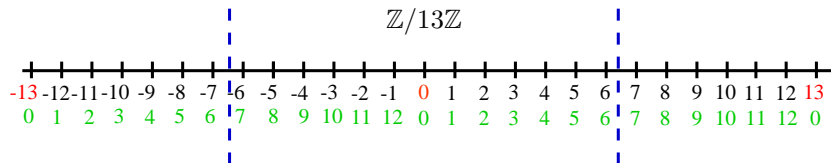
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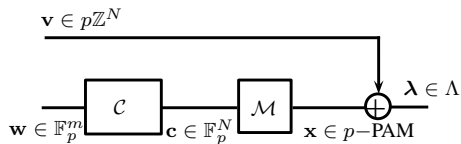
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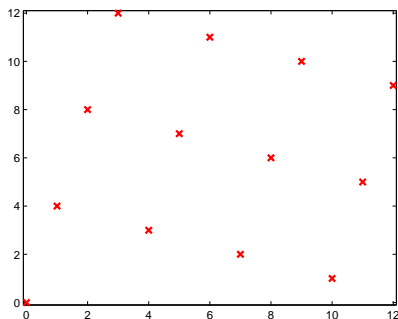
13-PAM  $+$  mod 13 is equivalent to decoding over  $\mathbb{F}_{13}$



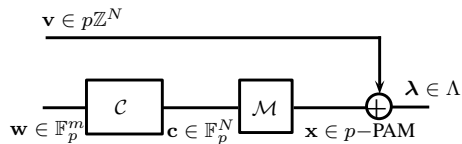
# $N$ -Dimensional Lattice Using Construction A



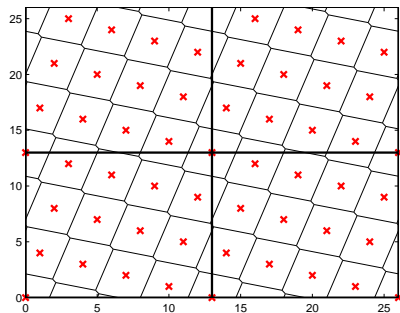
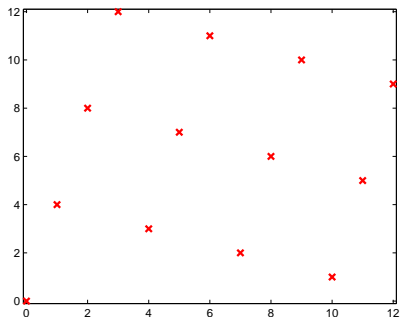
- $C$  linear code over  $\mathbb{F}_p$  (e.g., 13)
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- $\mathcal{M} : \mathbb{F}_p \rightarrow \mathbb{Z}$  trivial mapping
- $\lambda \in \Lambda$  iff  $\lambda \bmod p\mathbb{Z} \in C$
- $\lambda = \mathcal{M}(\underline{c}) + p\underline{k}$  - integer vector  $k$



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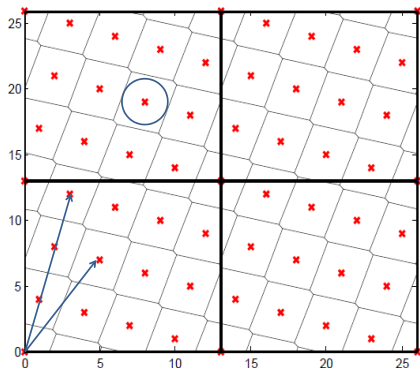


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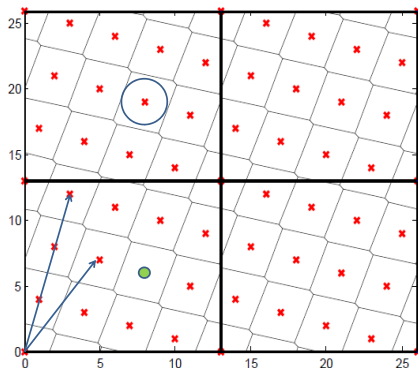
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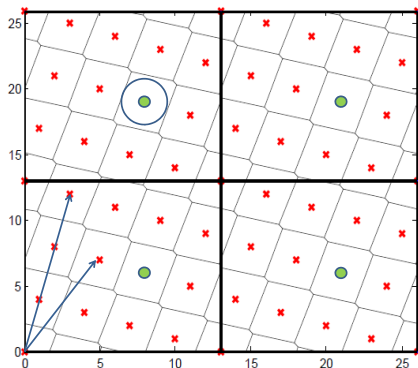
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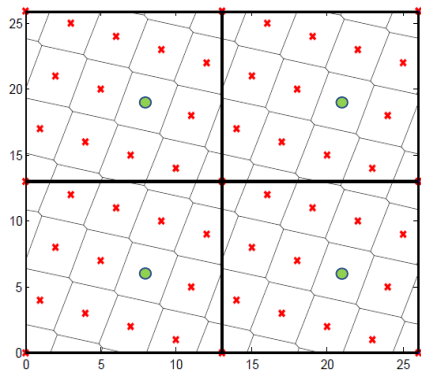


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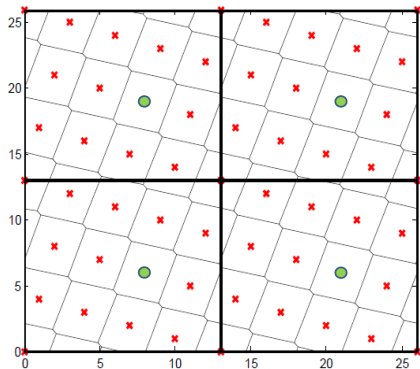


## Problem with Construction A



- $p$  has to be **large** to have a good lattice, Loeliger, Erez et al
- Complexity depends on decoding the **linear code over  $\mathbb{F}_p$** , e.g.  $p \log p$
- For e.g., simulation results by di Pietro, Boutros, Zemor, Brunel with  $\mathbb{F}_{41}$

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- Optimal lattices under ML decoding - no proof yet under iterative decoding

# Main Result in This Talk

Interesting extensions to 2-D constellations

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## Interesting extensions to 2-D constellations

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- Inspired by Feng, Silva and Kschischang'10
- $p$  does not have to be prime - can be replaced by  $p_1 p_2 \dots p_L$
- Instead of working over  $\mathbb{F}_p$ , we can work over  $\mathbb{F}_{p_1} \times \mathbb{F}_{p_2} \times \dots \times \mathbb{F}_{p_L}$
- Complexity decreases from  $p_1 p_2 \dots p_L \log(p_1 p_2 \dots p_L)$  to  $\sum_i p_i \log p_i$
- Ex:  $p = 210$  with just codes over  $\mathbb{F}_2, \mathbb{F}_3, \mathbb{F}_5, \mathbb{F}_7$
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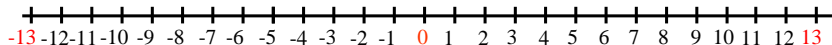
- Construction-D lattices are optimal under iterative decoding
- Nested binary spatially coupled codes suffice for the interference channel
- Not sufficient for compute-and-forward

# Quotient rings and $p$ -PAM constellation

## Quotient ring

- Principal ideal:  $p\mathbb{Z}$  is a principal ideal, e.g.,  $13\mathbb{Z}$
- Quotient ring:  $\mathbb{Z}/p\mathbb{Z}$  is a coset decomposition resulting in a quotient ring
- For any prime  $p$ ,  $\mathbb{Z}_p \triangleq \mathbb{Z}/p\mathbb{Z} \cong \mathbb{F}_p$

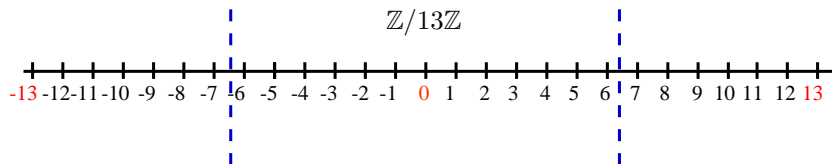
- $\mathbb{Z}$  is the union of
- $-6 + \{\dots, -13, 0, 13, 26, \dots\}$
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13-PAM is equivalent to  $\mathbb{Z}_{13} \triangleq \mathbb{Z}/13\mathbb{Z} \cong \mathbb{F}_{13}$

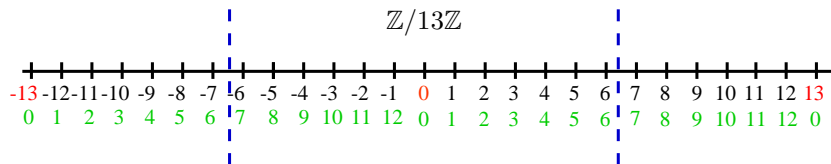


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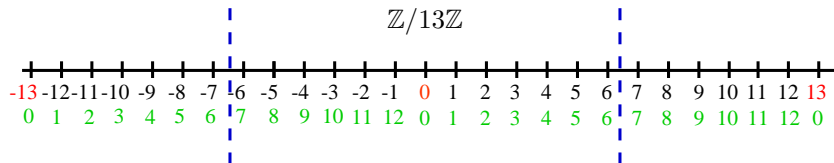
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# Mappings



## Mappings

- Modulation mapping -  $\mathcal{M}$  - map from  $\mathbb{F}_p$  to  $\mathbb{Z}$
- Demodulation mapping -  $\varphi$  - map from  $\mathbb{Z}$  to  $\mathbb{F}_p$ , i.e.  $\varphi = z \bmod 13$
- Label the elements of  $\mathbb{Z}$  with elements from  $\mathbb{F}_{13}$  in such a way that  $+$ ,  $\cdot$  mod 13 is the same as addition and multiplication in  $\mathbb{F}_{13}$

# Generalizations

If  $\mathcal{R}$  is a ring,  $\phi$  is a prime element in the ring  $\mathcal{R}/(\phi\mathcal{R}) \cong \mathbb{F}_p$

$\mathbb{Z}$  is just one ring and  $p\mathbb{Z}$  is just one ideal. There are other rings.

## Ring homomorphisms

Let  $\{\mathcal{R}_1, +, \cdot\}$  and  $\{\mathcal{R}_2, \oplus, \odot\}$  be two rings

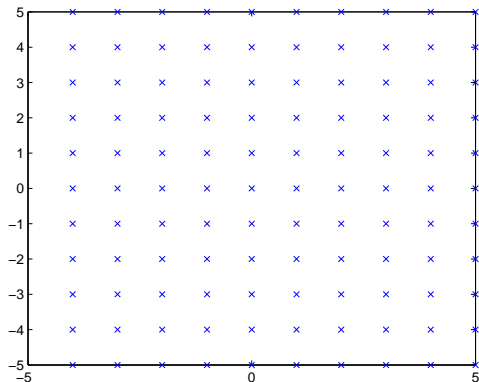
$\varphi: \mathcal{R}_1 \rightarrow \mathcal{R}_2$  is a function that preserves structures

- $\varphi(a + b) = \varphi(a) \oplus \varphi(b), \forall a, b \in \mathcal{R}_1$
- $\varphi(a \cdot b) = \varphi(a) \odot \varphi(b), \forall a, b \in \mathcal{R}_1$

## Some 2-D Examples

## Another Example: Gaussian integers $\mathbb{Z}[i]$

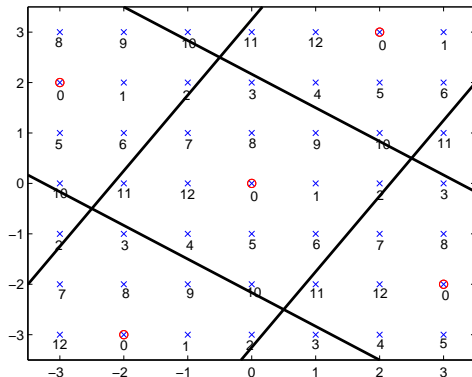
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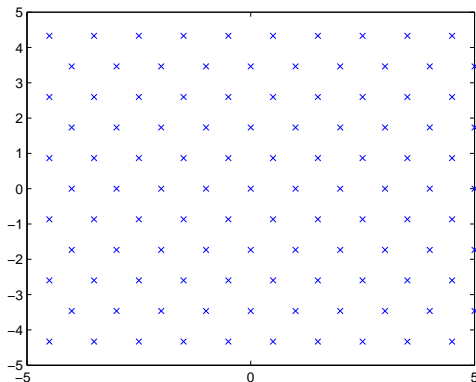
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## Another Example: Eisenstein integers $\mathbb{Z}[\omega]$

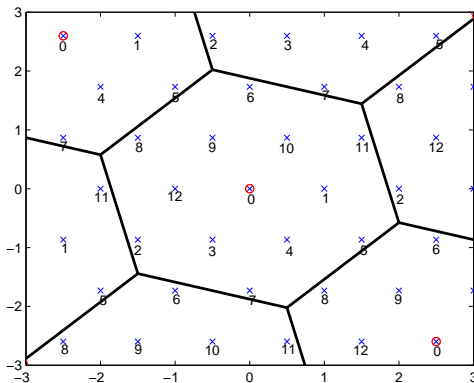
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Now lets deal with the complexity

# Introduction to the Chinese Remainder Theorem

Let  $p_1, p_2, \dots, p_L$  be  $L$  distinct co-prime integers and  $p = p_1 \cdot p_2 \cdot \dots \cdot p_L$ . Given

$$x \equiv a_1 \pmod{p_1}$$

$$x \equiv a_2 \pmod{p_2}$$

$$\vdots$$

$$x \equiv a_L \pmod{p_L}$$

there exists exactly one  $x \in \{0, 1, 2, \dots, p - 1\}$  satisfying this system.

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EX:  $p = 6, p_1 = 2, p_2 = 3$

$$x \equiv 0 \pmod{2}$$

$$x \equiv 1 \pmod{3}$$

$$\boxed{x = 4}$$

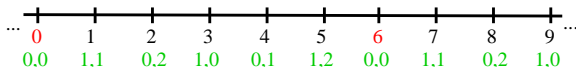
# Chinese Remainder Theorem for Rings

Let  $p_1, \dots, p_L$  be **distinct primes** in  $\mathbb{Z}$  and let  $p = p_1 p_2 \dots p_L$

$$\mathbb{Z}/p\mathbb{Z} \cong \mathbb{Z}_{p_1} \times \mathbb{Z}_{p_2} \times \dots \times \mathbb{Z}_{p_L} \cong \mathbb{F}_{p_1} \times \mathbb{F}_{p_2} \times \dots \times \mathbb{F}_{p_L}$$

- Consider  $\mathbb{Z}/6\mathbb{Z} \cong \mathbb{F}_2 \times \mathbb{F}_3$ . Let the isomorphism be

$$\begin{aligned} 0 &\leftrightarrow (0,0), & 1 &\leftrightarrow (1,1), & 2 &\leftrightarrow (0,2), \\ 3 &\leftrightarrow (1,0), & 4 &\leftrightarrow (0,1), & 5 &\leftrightarrow (1,2), \end{aligned}$$



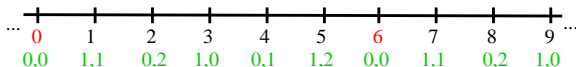
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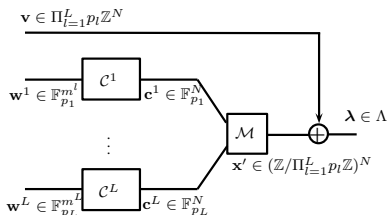


Hence, we have a  $\mathcal{M}$  and  $\varphi$  for which add/mult mod 6 is equivalent to two operations - one over  $\mathbb{F}_2$  and another over  $\mathbb{F}_3$

# Proposed Product Construction - Use $\mathbb{Z}$ for Example

Let  $p = p_1, \dots, p_L$  product of distinct primes

$$\mathbb{Z}/(p\mathbb{Z}) \cong \times_{l=1}^L \mathbb{F}_{p_l}$$



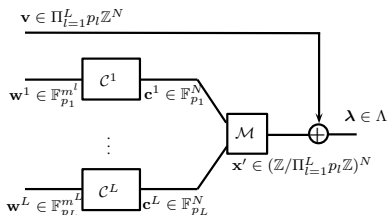
Product construction -  $L$  levels

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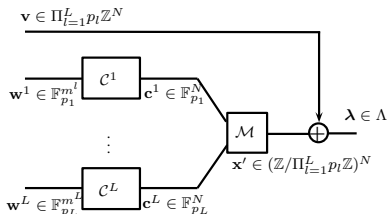
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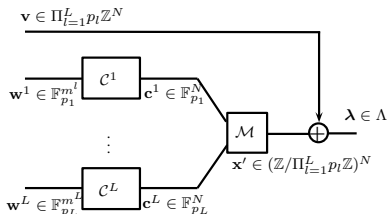
Works for other rings such as  $\mathbb{Z}[i]$  and  $\mathbb{Z}[\omega]$



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Reduce to Construction A when  $L = 1$

# Properties of construction- $\pi_A$

## Theorem 1

*The proposed construction results in a lattice*

## Proof.

Construction-A only hinges on the mapping. Chinese remainder theorem shows that such a mapping exists. □

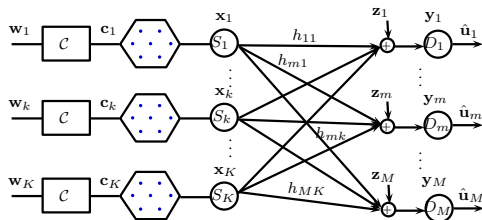
## Theorem 2

*There exists a sequence of proposed **multilevel lattices** that are Poltyrev-good*

## Theorem 3

*There exists a sequence of proposed **multilevel lattices** MSE quantization-good*

## Example of Multistage CF

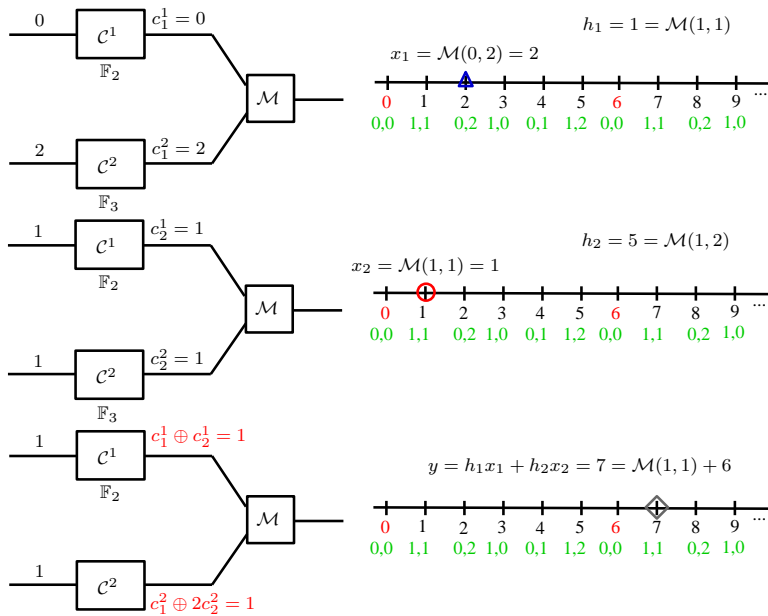


$$\begin{aligned} y_3 &= x_1 + 5x_2 + h_{33}x_3 + z_3 \\ &= \boxed{x_1 + 5x_2} + z_{eq,3} \end{aligned}$$

- Consider  $\mathbb{Z}/6\mathbb{Z} \cong \mathbb{F}_2 \times \mathbb{F}_3$ . Same isomorphism and codes.

$$\begin{aligned} 0 &\leftrightarrow (0, 0), & 1 &\leftrightarrow (1, 1), & 2 &\leftrightarrow (0, 2), \\ 3 &\leftrightarrow (1, 0), & 4 &\leftrightarrow (0, 1), & 5 &\leftrightarrow (1, 2), \end{aligned}$$

# Example of Multistage CF

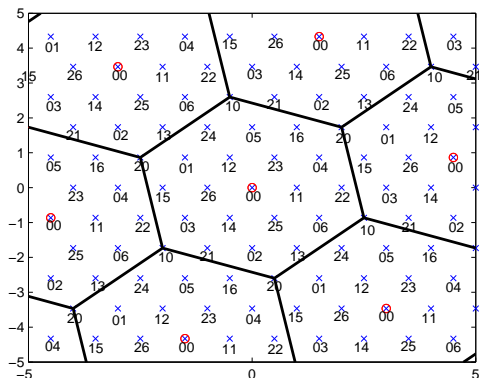


## Example from $\mathbb{Z}[\omega]$

$$\phi_1 = 3 + 2\omega, \phi_2 = 1 + 2\omega, \phi = \phi_1\phi_2, \mathbb{Z}[\omega]/\phi\mathbb{Z}[\omega] \cong \mathbb{F}_3 \times \mathbb{F}_7$$

$$x_1 = 1 \Leftrightarrow \mathbf{c}_1 = (1, 1), \quad x_2 = -1 \Leftrightarrow \mathbf{c}_2 = (2, 6)$$

channel coeffs:  $h_1 = 1, h_2 = \omega \Leftrightarrow$  Want to decode:  $1 \cdot x_1 + \omega \cdot x_2 = 1 - \omega$

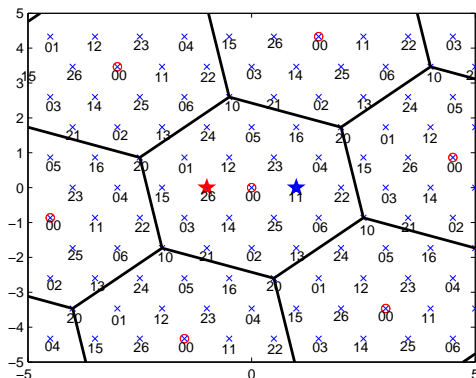


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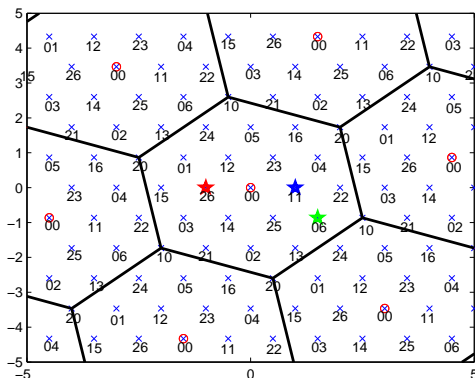
- $a_1\mathbf{c}_1 \oplus a_2\mathbf{c}_2 = (1, 1) \odot (1, 1) \oplus (1, 2) \odot (2, 6) = (1, 1) \oplus (2, 5) = (0, 6)$
- Equivalent to decoding  $[\mathbf{c}_1^1 \oplus \mathbf{c}_2^1 \quad \mathbf{c}_1^2 \oplus 2 \cdot \mathbf{c}_2^2]$

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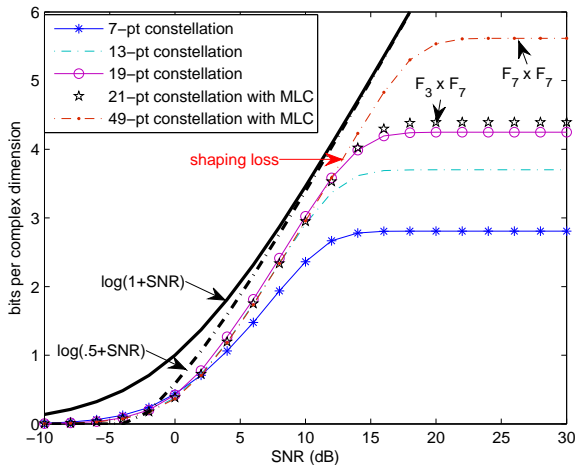


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# Numerical Results - 2 TX and 1 RX

$$h_1 = h_2 = 1.$$

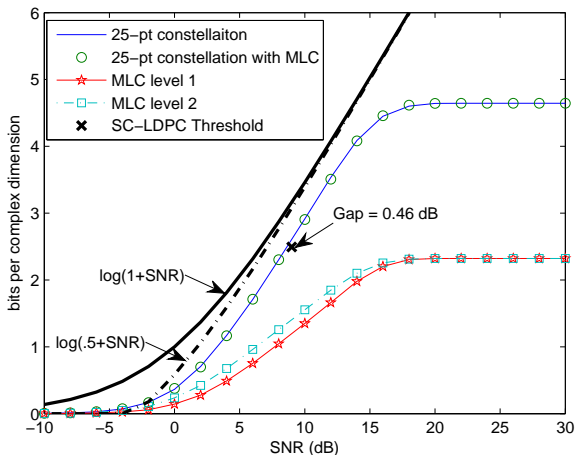




# Numerical and Simulation Results - 2 TX and 1 RX

$$\phi = 5, h_1 = h_2 = 1.$$

× : Simulated by (3, 6, 64, 10000) SC-LDPC code

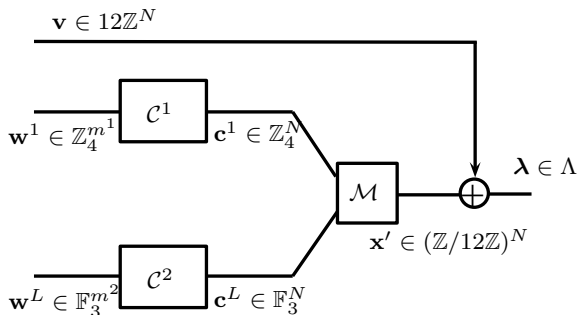


## When $p$ is not a product of primes..

EX:  $p = 12 = 4 \times 3$

$$\mathbb{Z}_{12} \cong \mathbb{Z}_4 \times \mathbb{Z}_3 \cong \mathbb{Z}_4 \times \mathbb{F}_3$$

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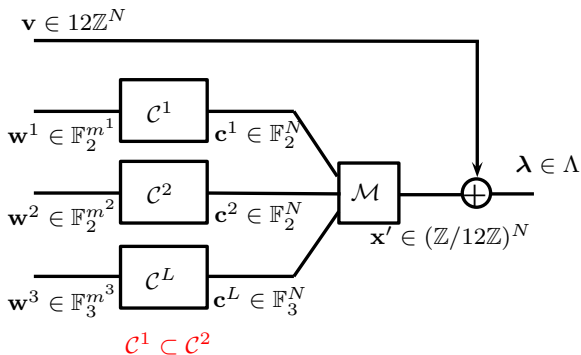


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## Primes to Powers of 2

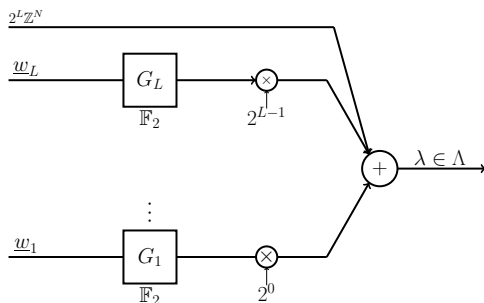
*Mathematicians like prime numbers, Engineers like powers of two*

- Emanuele Viterbo

## Construction D with $L$ levels

- Barnes and Sloane '83, Forney, Chung and Trott '00, Yan, Ling, Wu ' 13

$$G = \begin{bmatrix} \cdots & g_L & \cdots \\ \cdots & \vdots & \cdots \\ \cdots & g_{k_2} & \cdots \\ \cdots & \vdots & \cdots \\ \cdots & g_{k_1} & \cdots \\ \cdots & \vdots & \cdots \\ \cdots & g_2 & \cdots \\ \cdots & g_1 & \cdots \end{bmatrix}$$



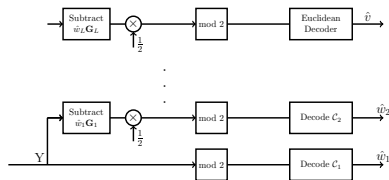
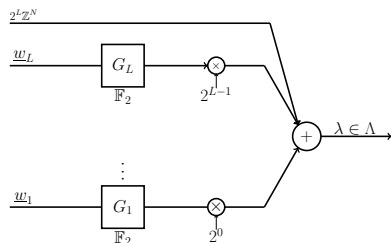
- Choose  $G_1 \subseteq \dots \subseteq G_L$  where  $G_i$  is a gen matrix of a code over  $\mathbb{F}_2$ .
- Lattice built using nested codes obtained by nested generator matrices:

$$\Lambda = \{ \underline{w}_1 \mathbf{G}_1 + 2\underline{w}_2 \mathbf{G}_2 \dots + 2^{L-1} \underline{w}_{L-1} \mathbf{G}_{L-1} + 2^L \mathbb{Z}^N : w_{j,i} \in \{0, 1\} \}$$

- $(\underline{w}_1 \mathbf{G}_1) \bmod 2 = \underline{w}_1 \odot \mathbf{G}_1$

# Multi-Level Decoding (Successive Decoding)

- $\underline{y} = \underline{w}_1 \mathbf{G}_1 + 2\underline{w}_2 \mathbf{G}_2 \dots + 2^{L-1} \underline{w}_{L-1} \mathbf{G}_{L-1} + 2^L \mathbb{Z}^N + \underline{n}$
- $\underline{y} \bmod 2 = (\underline{w}_1 \mathbf{G}_1 + \underline{n}) \bmod 2 = \underline{w}_1 \odot \mathbf{G}_1 + \underline{n} \bmod 2$
- Decode  $\underline{w}_1$ , reconstruct  $\underline{w}_1 \mathbf{G}_1$  and subtract from  $\underline{y}$



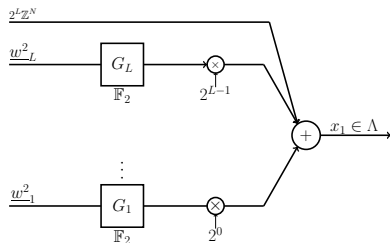
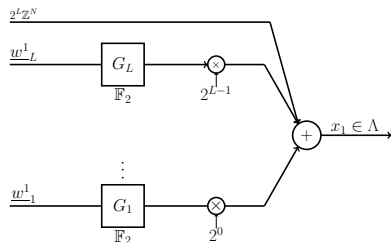
# Decoding Sums - Symmetric Interference Channel(SIC)

- 2-level code for each user

$$\begin{aligned}
 \mathbf{x}_1 + \mathbf{x}_2 &= (\underline{w}_1^1 + \underline{w}_1^2)\mathbf{G}_1 + 2(\underline{w}_2^1 + \underline{w}_2^2)\mathbf{G}_2 + 4\mathbf{k}_{12} \\
 &= (\underline{w}_1^1 \oplus \underline{w}_1^2 + 2\underline{c}_1)\mathbf{G}_1 + 2(\underline{w}_2^1 \oplus \underline{w}_2^2)\mathbf{G}_2 + 4\underline{c}_2\mathbf{k}_{23} \\
 &= (\underline{w}_1^1 \oplus \underline{w}_1^2)\mathbf{G}_1 + 2(\underline{c}_1 + \underline{w}_2^1 + \underline{w}_2^2)\mathbf{G}_2 + 4\underline{c}'_2\mathbf{k}_{23}
 \end{aligned}$$

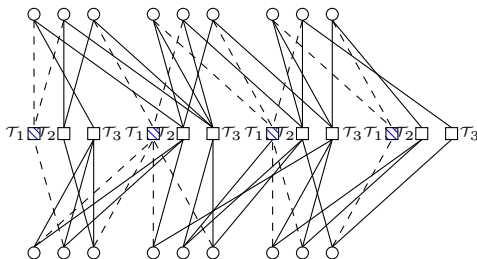
where the carry overs are

$$\begin{aligned}
 2\underline{c}_1 + \underline{w}_1^1 \oplus \underline{w}_1^2 &= (\underline{w}_1^1 + \underline{w}_1^2), \\
 2\underline{c}_2 + \underline{c}_1 \oplus \underline{w}_2^1 \oplus \underline{w}_2^2 &= (\underline{c}_1 + \underline{w}_2^1 + \underline{w}_2^2)
 \end{aligned}$$



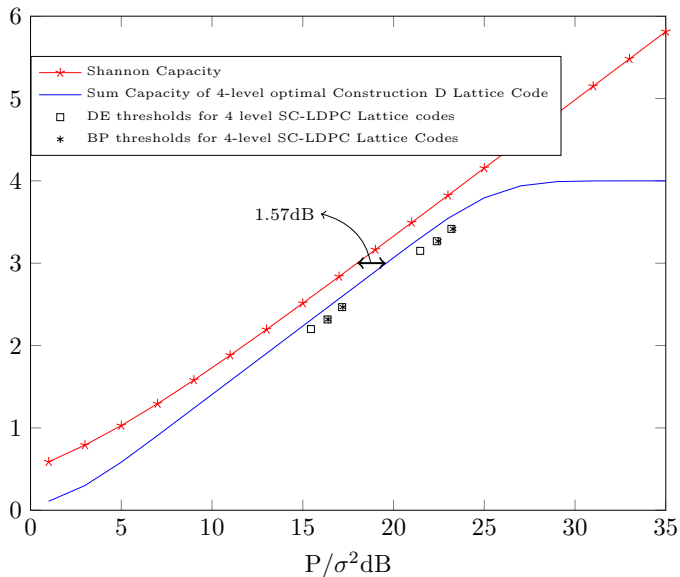
# Proposed Nested Spatially-Coupled LDPC Ensemble (Regular here)

- 1 Begin with a  $(d_v^1, d_c)$  SC LDPC code
- 2 Group check nodes into type  $\mathcal{T}_k$ ,  $k \in \{1, \dots, d_v^1\}$
- 3 Remove all check nodes of type  $\mathcal{T}_1, \dots, \mathcal{T}_{d_v^1 - d_v^2}$
- 4 Results in a sub-code that is a  $(d_v^2, d_c)$  SC LDPC code





# Achievable Information Rates



## Concluding Remarks

- Multilevel constructions - efficient ways to decode integer combinations
- Multilevel construction are provably good
- Multilevel with different primes - no need for nesting
- For powers of 2, codes need to be nested
- These constructions are provably good under message passing decoding
- **Nothing more than non-binary LDPC codes over small fields are required**